

# Parallel GC

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# Terms

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## **Parallel GC**

- Mutator paused while GC runs
- Multiple GC threads
- Hopefully better throughput

## **Concurrent GC**

- Mutator and GC run concurrently
- One or more GC threads
- Hopefully less latency

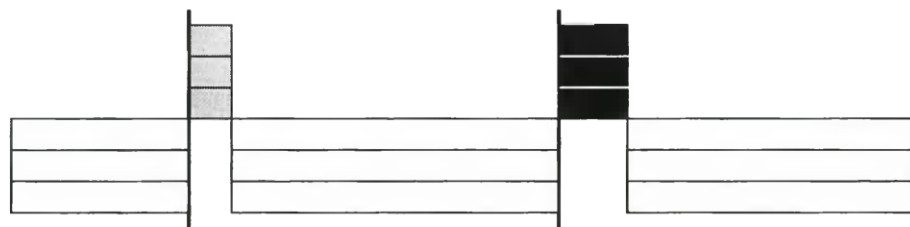
time →



(a) Stop-the-world collection, single thread



(b) Stop-the-world collection on multiprocessor, single collector thread

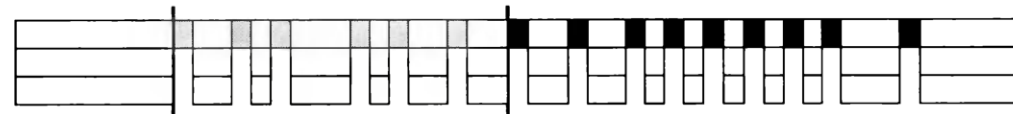


(c) Stop-the-world parallel collection

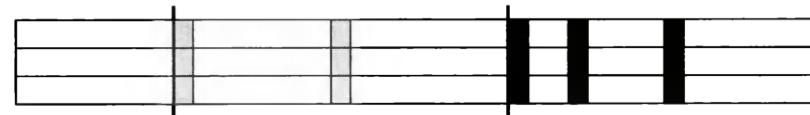
time →



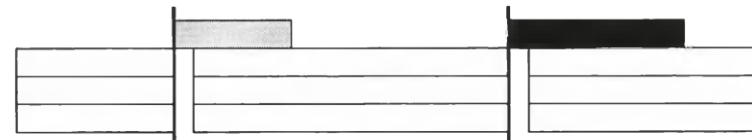
(a) Incremental uniprocessor collection



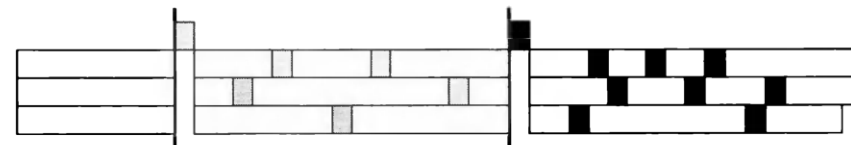
(b) Incremental multiprocessor collection



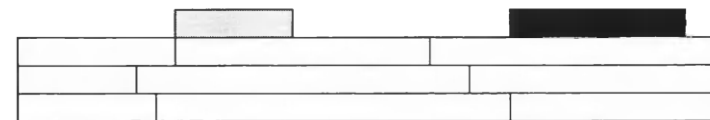
(c) Parallel incremental collection



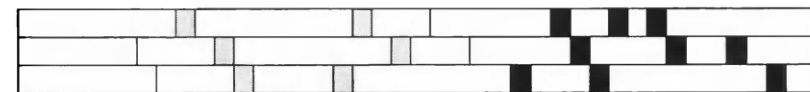
(d) Mostly-concurrent collection



(e) Mostly-concurrent incremental collection



(f) On-the-fly collection



(g) On-the-fly incremental collection

# Complications

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- We are not responsible for making sure the mutator is thread-safe (and it might not be!)
- In concurrent GC, we must be thread-safe w.r.t. mutator
- In parallel GC, we must avoid duplicating work

# Toolbox

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- Atomic swap
- Compare-and-swap/test-and-set
- Atomic increment/decrement
- Mutexes, semaphores, etc

# Memory consistency

Reordering	Alpha	x86-64	Itanium	POWER	SPARC	x86
R → R	Y		Y	Y		
R → W	Y		Y			
W → W	Y		Y			
W → R	Y	Y		Y	Y	Y
Atomic → R	Y		Y	Y		
Atomic → W	Y		Y	Y		
dependent loads						

*It's annoying and I don't want to talk about it.*

Table showing memory consistency models and possible reorderings. A Y means that the indicated happens-before order is not necessarily enforced.

# Parallel GC

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- Scanning objects is mostly independent
- Not embarrassingly parallel: Objects may be reachable through multiple paths, so threads must communicate
- Multiple threads scanning an object is at least a waste of time (mark-and-sweep), possibly a catastrophe (copying)

# Parallel GC

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- Each task has different requirements:
  - Mark phase: Must spread scanning work amongst threads
  - Sweep phase: Relatively easy to simply divide up pools, but can cause starvation
  - Copying phase: Same problems as mark phase, plus parallel allocation
  - Compaction phase: Similar to sweep



# Is it worth it?

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- Parallelism credo #1:  
Parallel code is so much slower than sequential, it may take many cores to gain performance
- The best parallel GCs estimate runtime and switch to sequential when no benefit is expected

# Synchronization

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- Synchronization operations are what make parallelism so slow
- We avoid synchronization whenever possible
- Redundant work is often better than synchronization, so long as the result is correct

# Parallel root scanning

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- Usually easy: Just divide the roots into equal-sized chunks
- This can create starvation, if some chunks have more references
- Another technique is to divide as stripes: Word 1 goes to thread 1, word 2  $\rightarrow$  thread 2, ... word  $n \rightarrow$  thread  $n \% \text{THREAD\_COUNT}$

# Parallel mark

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- Our three mark phases are:
  - Acquire a reference from the worklist
  - Check the object's mark and mark it
  - Add the object's references to worklist
- Even with no synchronization, result would be consistent

# Parallel mark

```
markPhase(worklist):  
    while loc := worklist.pop():  
        obj := *loc  
        if obj.mark: continue  
        obj.mark := 1  
        (add obj's references to  
         worklist)  
    if worklist.empty():  
        (synchronize to get more work  
         from other threads)
```

# Parallel mark

Use a thread-local worklist to avoid synchronization on acquiring work

```
markPhase(worklist):  
    while loc := worklist.pop():  
        obj := *loc  
        if obj.mark: continue  
        obj.mark := 1  
        (add obj's references to  
         worklist)  
    if worklist.empty():  
        (synchronize to get more work  
         from other threads)
```

# Parallel mark

Use a thread-local worklist to avoid synchronization on acquiring work

```
markPhase(worklist):
```

```
    while loc := worklist.pop():
```

```
        obj := *loc
```

```
        if obj.mark: continue
```

```
        obj.mark := 1
```

```
        (add obj's references to  
         worklist)
```

```
        if worklist.empty():
```

```
            (synchronize to get more work  
             from other threads)
```

We could use test-and-set here for guaranteed semantics, but with no synchronization the result is still correct

# Work synchronization

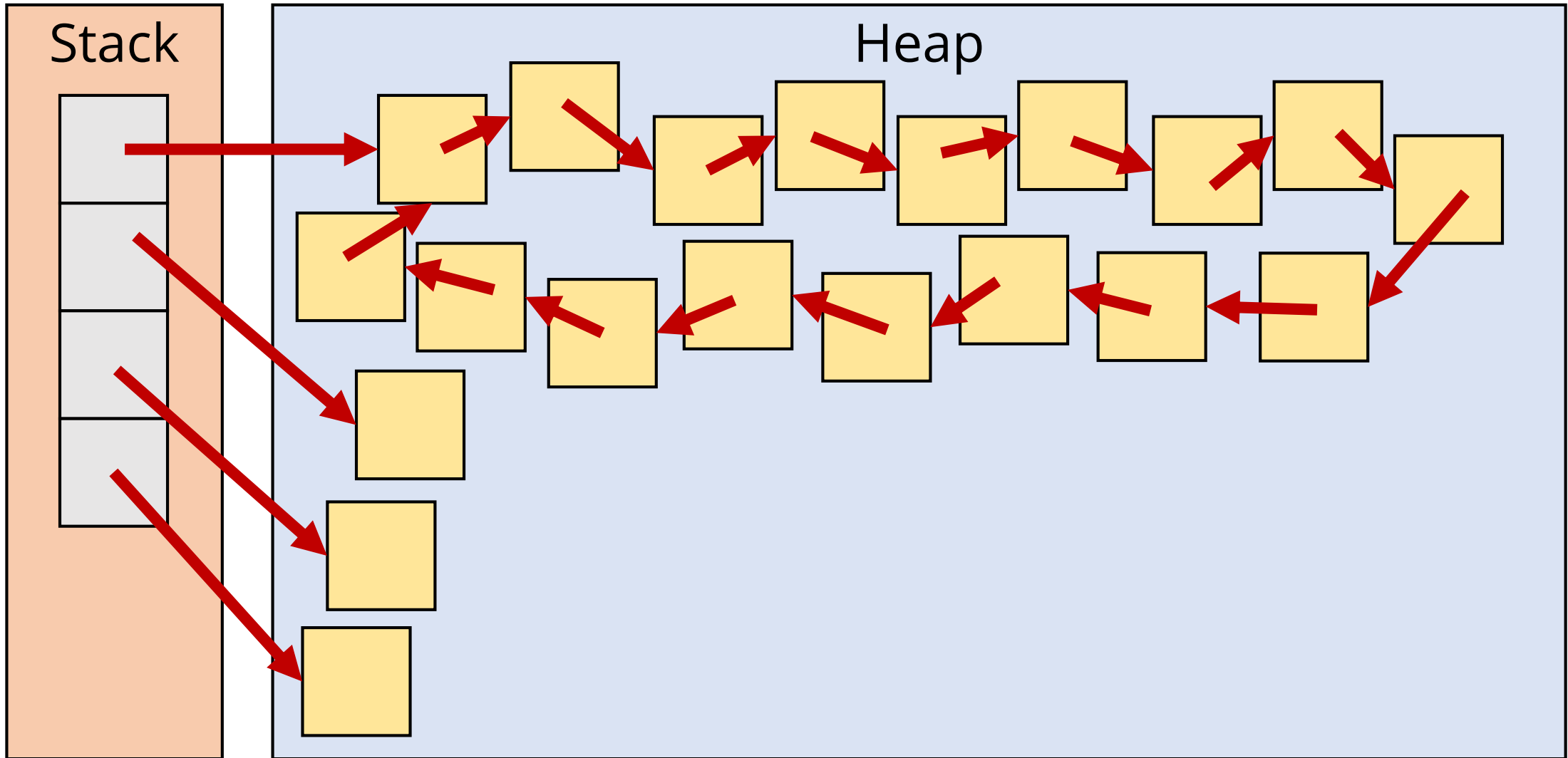
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- With thread-local worklists, `worklist.empty()` does not mean there's no work to do: Another thread may still have more work
- Would be nice: Who cares! Just wait for every thread to be done with their work

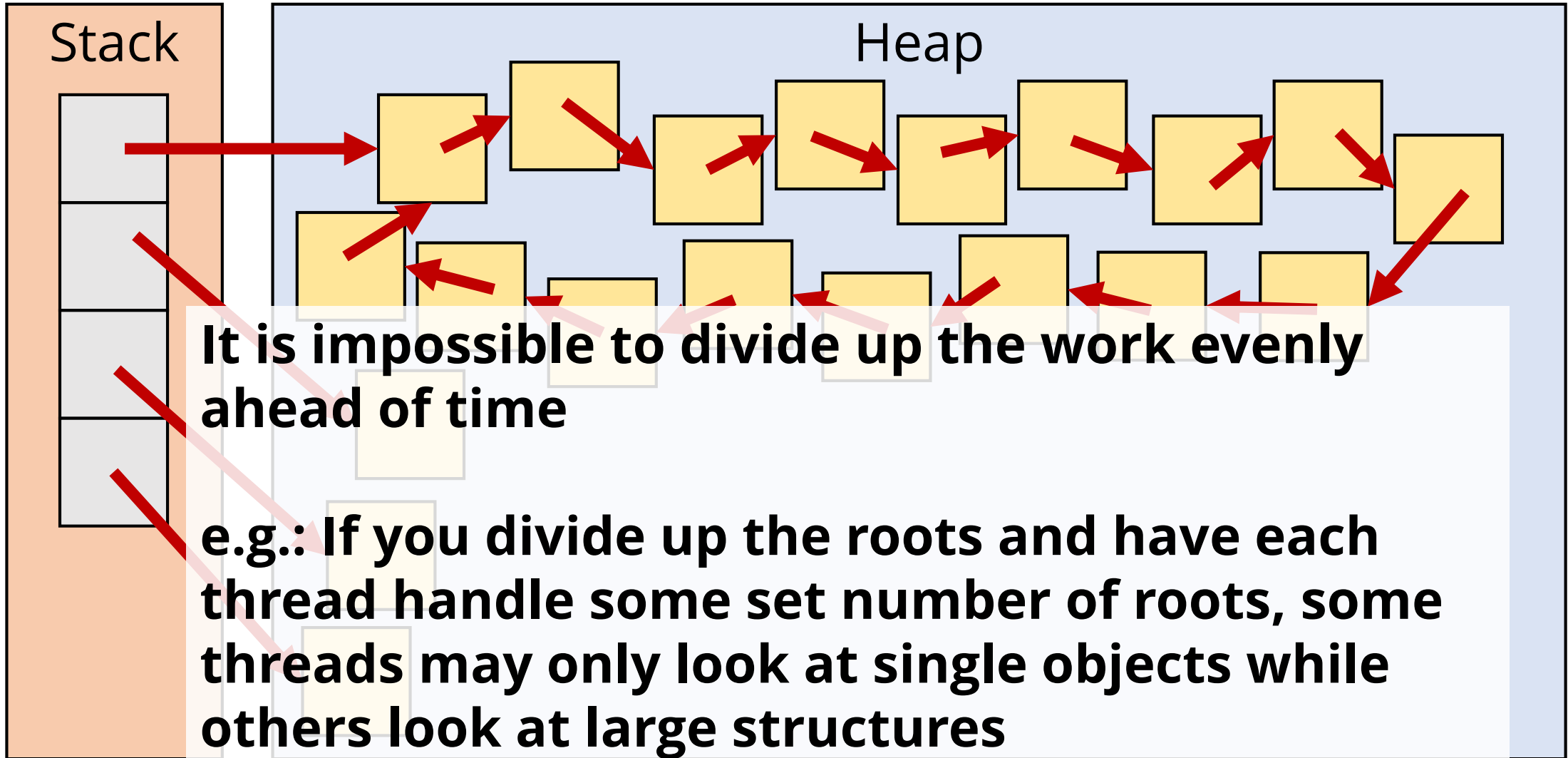


# Load balancing

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# Load balancing



# Work sharing/stealing

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- Need a way of either
  - Sharing our excess work with other threads, or
  - Stealing work from threads with an excess
  - Or both
- Thread worklists should be normally unsynchronized, but filled by sharing/stealing

```
shared jobs[N] := (initial work)
shared busy[N] := [true, ...]
shared allDone := false
shared signal := new Signal()
```

```
worker():
  while true:
    while !jobs[me].empty():
      if (some thread j is not
          busy):
        some := jobs[me].half()
        sendJobs(some, j)
      else:
        jobs[me].pop().do()
    busy[me] := false
    signal.post()
  while jobs[me].empty() and
    !allDone: wait
  if allDone: return
  busy[me] := true
```

```
sendJobs(some, j):
  jobs[j].atomicPush(some)
  while !busy[j] and
    !jobs[j].empty(): wait
```

```
detect():
  anyActive := true
  while anyActive:
    signal.wait()
    anyActive := any busy[N]
  allDone := true
```

```

shared jobs[N] := (initial work)
shared busy[N] := [true, ...]
shared allDone := false
shared signal := new Signal()

worker():
  while true:
    while !jobs[me].empty():
      jobs[me].pop().do()
    if (some thread j has plenty
        of jobs):
      some := jobs[j].atomicHalf()
      jobs[me].push(some)
    busy[me] := false
    signal.post()
    while (no thread has jobs
            to steal) and
            !allDone: wait
    if allDone: return
    busy[me] := true

```

```

detect():
  anyActive := true
  while anyActive:
    signal.wait()
    anyActive := any busy[N]
  allDone := true

```

# Return to parallel mark

- Remember: Needed work stealing for parallel mark
- A direct implementation of work stealing works, but still a lot of synchronization
- We reduce synchronization by dividing up our queue

```

shared stealQueue[N]
threadLocal worklist

acquireWork():
    if !worklist.empty():
        return
    lock(stealQueue[me])
    worklist.push(
        stealQueue[me].popHalf())
    unlock(stealQueue[me])

if worklist.empty():
    foreach thread j:
        if tryLock(stealQueue[j]):
            worklist.push(
                stealQueue[j].popHalf())
            unlock(stealQueue[j])
            if !worklist.empty():
                return

```

```

markPhase():
    while true:
        while worklist.pop():
            (the usual)
            generateWork()
            acquireWork()

generateWork():
    if stealQueue[me].empty():
        lock(stealQueue[me])
        stealQueue[me].push(
            worklist.popHalf())
        unlock(stealQueue[me])

```

Note: detect, busy and termination are broadly the same as before, left as exercises for the reader.

# Parallel sweep

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- One thread sweeps one pool at a time
- Either work-stealing for pools, or accept synchronization
- Build thread-local free-lists to avoid synchronization
- Note: Free-list is now unordered. So much for easy coalescence!



# Parallel copying

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- Double-copying an object is catastrophic
- Synchronizing on the forwarding pointer seems unavoidable
- We can avoid synchronizing on allocation by distributing pools per thread
  - Or, buffers within pools

# Parallel copying

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```
copyPhase(worklist):
    topool := (acquire pool for thread)
    while loc := worklist.pop():
        obj := *loc
        if obj.forward: continue
        while topool.freeSpace() < obj.size:
            topool := (acquire pool for thread)
        if !testAndSet(&obj.forward, NULL, topool.allocPtr):
            *loc := obj.forward
            continue
        (copy obj to newObj in topool)
        *loc := newObj
        (add newObj's references to worklist)
    if worklist.empty():
        (synchronize to get more work from other threads)
```

# Parallel copying problems

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- Contention on forwarding pointer
- Locality guarantees not as nice as traditional copying
- Because threads own pools, we may find tospace full with objects left to copy:  
Need to give those to other threads

# Parallel copying notes

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- Thread partitioning helps somewhat: If we have thread partitioning, give each thread the objects in its pools
- With immutable objects<sup>1</sup>, we can eagerly copy and race on forwarding

<sup>1</sup> But note, even in a language like Haskell, compiler optimizations sometimes eliminate immutability

# Parallel compaction

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- Remember our three compaction sweeps:
  - 1: Imaginary compaction to determine forwarding addresses
  - 2: Update references to forwarding addresses
  - 3: Compact

# Parallel compaction

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- Each phase is easily parallelized *if* we don't perfectly compact, just compact pools
- Again, threads perform per-pool phases
- All threads must complete phase 1 before any start phase 2, and 2→3

# Final parallel thoughts

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- Tracing is more complicated than sweeping
- Termination conditions are what make balancing so difficult
- It is quite possible to gain zero benefits due to synchronization