

# Generational redux

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# Schedule

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	<b>M</b>	<b>W</b>
<b>Sept 14</b>	Intro/Background	Basics/ideas
<b>Sept 21</b>	Allocation/layout	GGGGC
<b>Sept 28</b>	Mark/Sweep	Copying GC
<b>Octo 5</b>	Details	Ref C
<b>Octo 12</b>	Thanksgiving	Mark/Compact
<b>Octo 19</b>	Partitioning/Gen	Generational
<b>Octo 26</b>	Other part	Runtime
<b>Nove 2</b>	Final/weak	Conservative
<b>Nove 9</b>	Ownership	Regions etc
<b>Nove 16</b>	Adv topics	Adv topics
<b>Nove 23</b>	Presentations	Presentations
<b>Nove 30</b>	Presentations	Presentations

# The big problem

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- Young collection requires old collection, old collection leaves young generation in inconsistent state
- How to collect with young in inconsistent state?

# Two solutions

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- Option one: Collect during old, but don't promote, no inconsistency (depends on young strategy)
- Option two: Don't move, retry young collection after old
- "Retry" is ill-defined

# The big problem

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- Young collection: Treat old as root, promote until old is full
- Old collection: Cannot promote young, so young don't move
- Second young collection: Some things already moved, some not

# The solution

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- Standard young collection is copying-only
- Secondary young collection uses marks
- Need two sweeps to clear out marks
  - Unless you switch meaning of mark bit every collection

```
traceSecond(loc) :  
  obj := *loc  
  if obj->header.forward:  
    obj := obj->header.forward  
    *loc := obj  
  if !obj->header.mark:  
    if obj in fromspace:  
      (copy obj to newObj in tospace)  
      obj->header.forward := newObj  
      obj := newObj  
      *loc := newObj  
      (scan obj)  
    obj->header.mark := 1
```

# Project 3

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- Because I didn't explain this detail, project 3 deadline extended to Wednesday the 11<sup>th</sup>
- Please also talk to me about final projects and presentations!



# Finalization

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# Finalization

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- When an object dies, reclaim resources other than memory
- Ideally: Get all resources into memory, problem solved
- Reality: e.g. open files, sockets, OS handles need special reclamation

# Finalization

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- Usual design: User-defined finalizer per object or type

```
class File {  
    constructor() { this.fd = open(...); }  
    finalizer() { close(this.fd); }  
}
```

# When to finalize

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- Problems:
  - User-defined finalizers may allocate objects:  
Can't do it during GC
  - User-defined finalizer has access to finalized object: Can't actually free the object

# When to finalize

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```
class File {  
    // pointless global variable  
    static File lastFreedFile;  
    constructor() { this.fd = open(...); }  
    finalizer() {  
        close(this.fd);  
        File.lastFreedFile = this;  
    }  
}
```

# When to finalize

---

```
class File {  
    // pointless global variable  
    static File lastFreedFile;  
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    }  
}
```

Object resurrection!

# Real finalization

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- In e.g. Java, finalized objects are kept alive by GC, and may survive finalization
- After finalization, next GC cycle can free object
- Essentially: The pending finalizer acts like a reference

# Handling finalization

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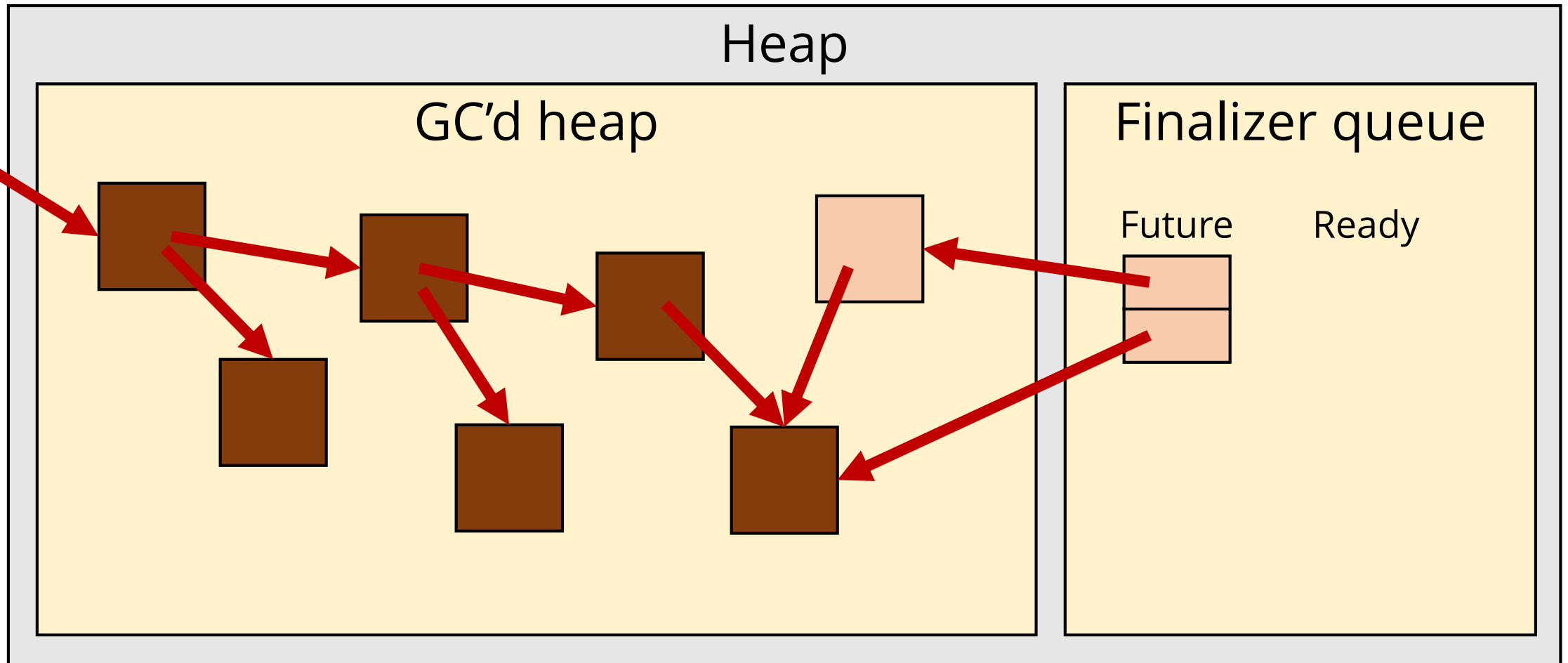
- If an object is unreachable, but has a finalizer
- Mark it as reachable, enqueue finalizer
- Run finalizers at end of collector
- Runtime interface: Tell GC “this object has this function as its finalizer”



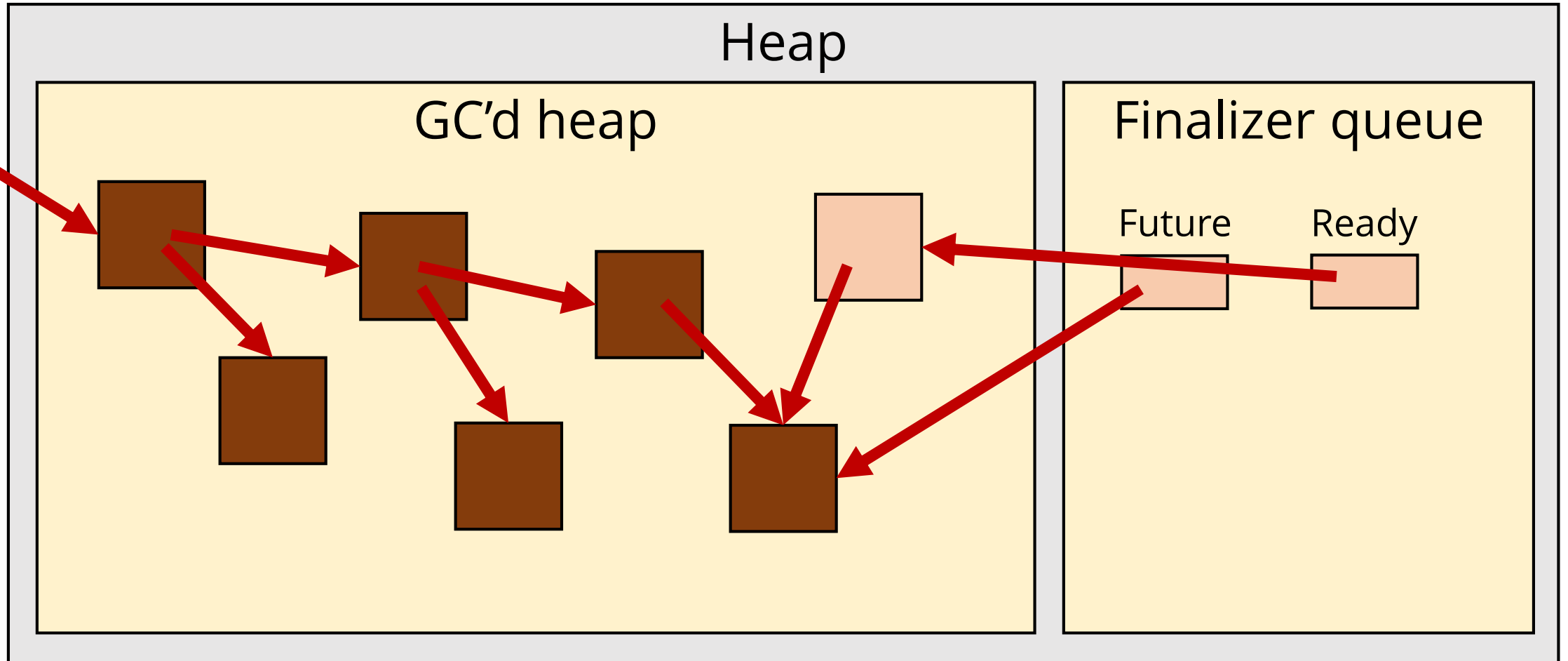
```
collect():  
    (initialize worklist)  
    (handle worklist items)  
    foreach qi in finalizerQueue:  
        if !qi.obj->header.mark:  
            (move qi to ready queue)  
            (add qi.obj to worklist)  
    (handle worklist items)  
    (sweep)  
    foreach qi in finalizerReadyQueue:  
        qi.function(obj)
```



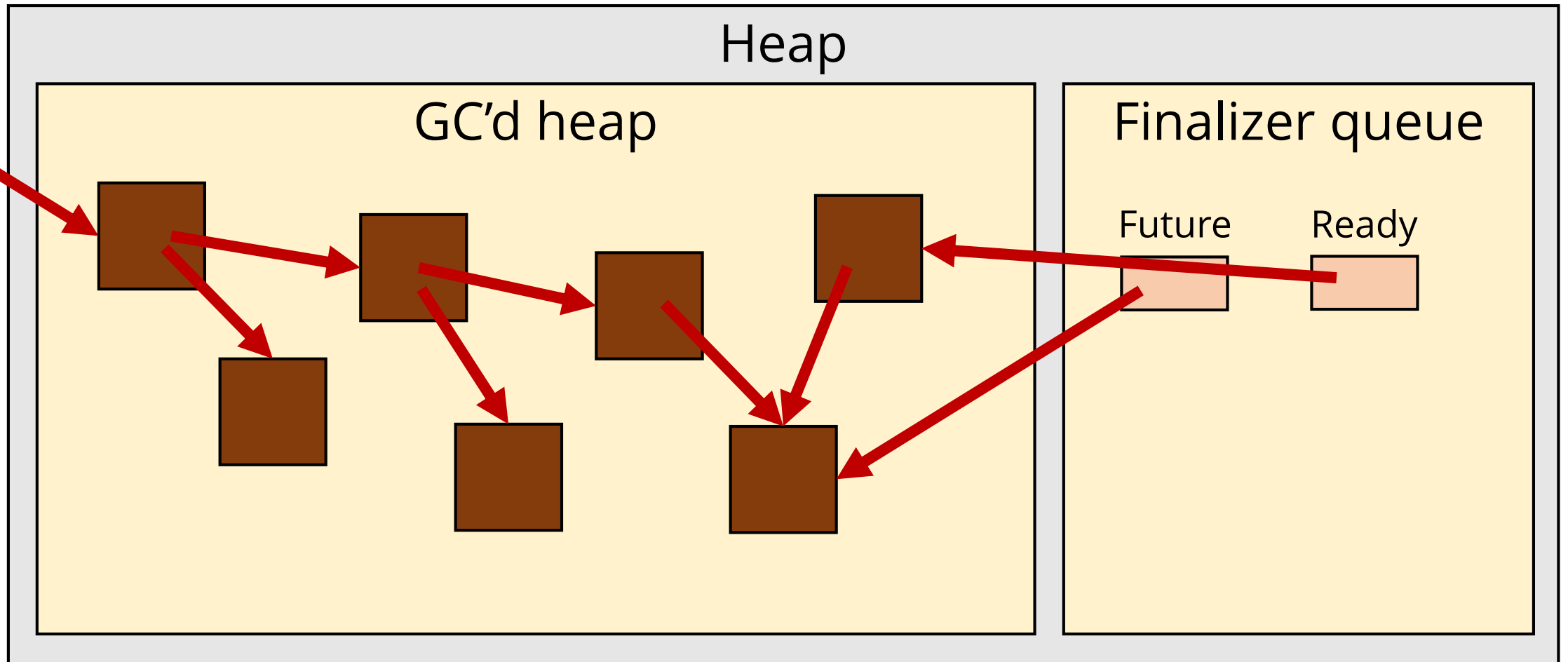
# Finalization queue



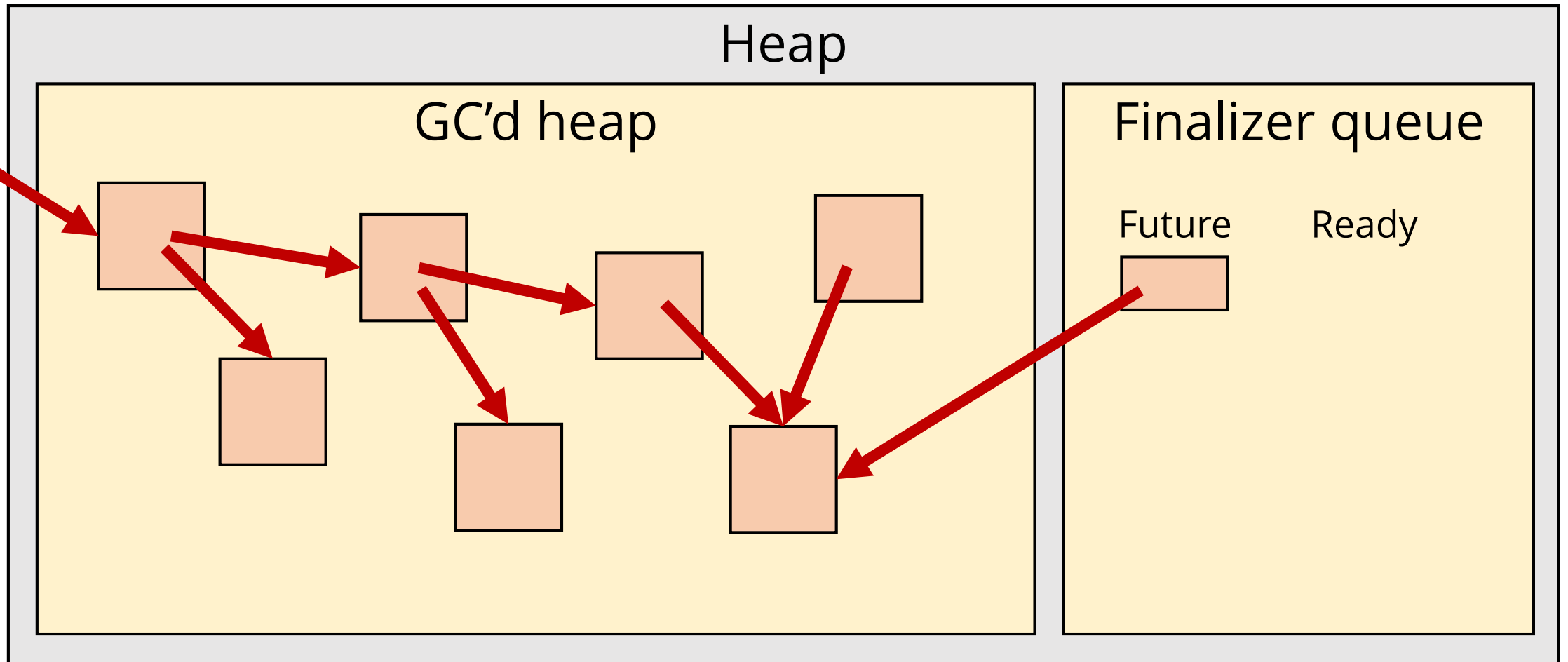
# Finalization queue



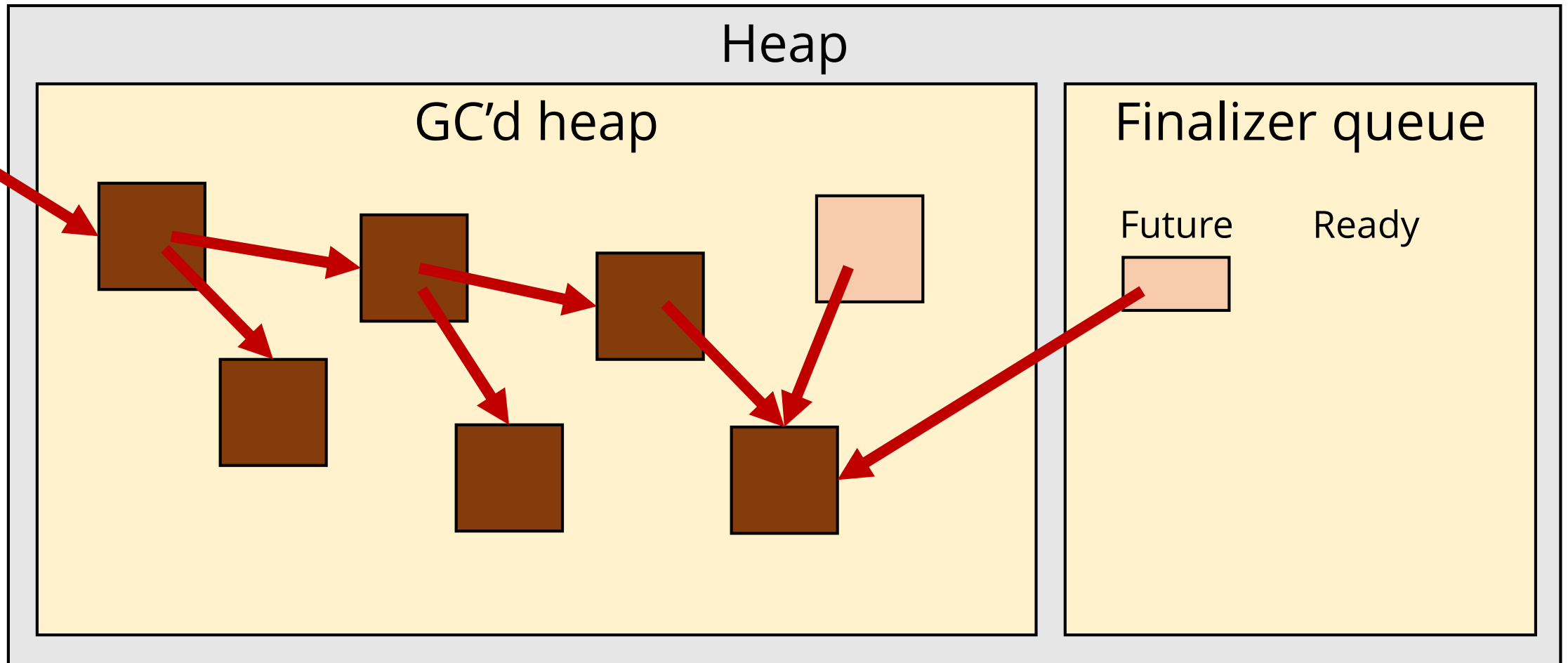
# Finalization queue



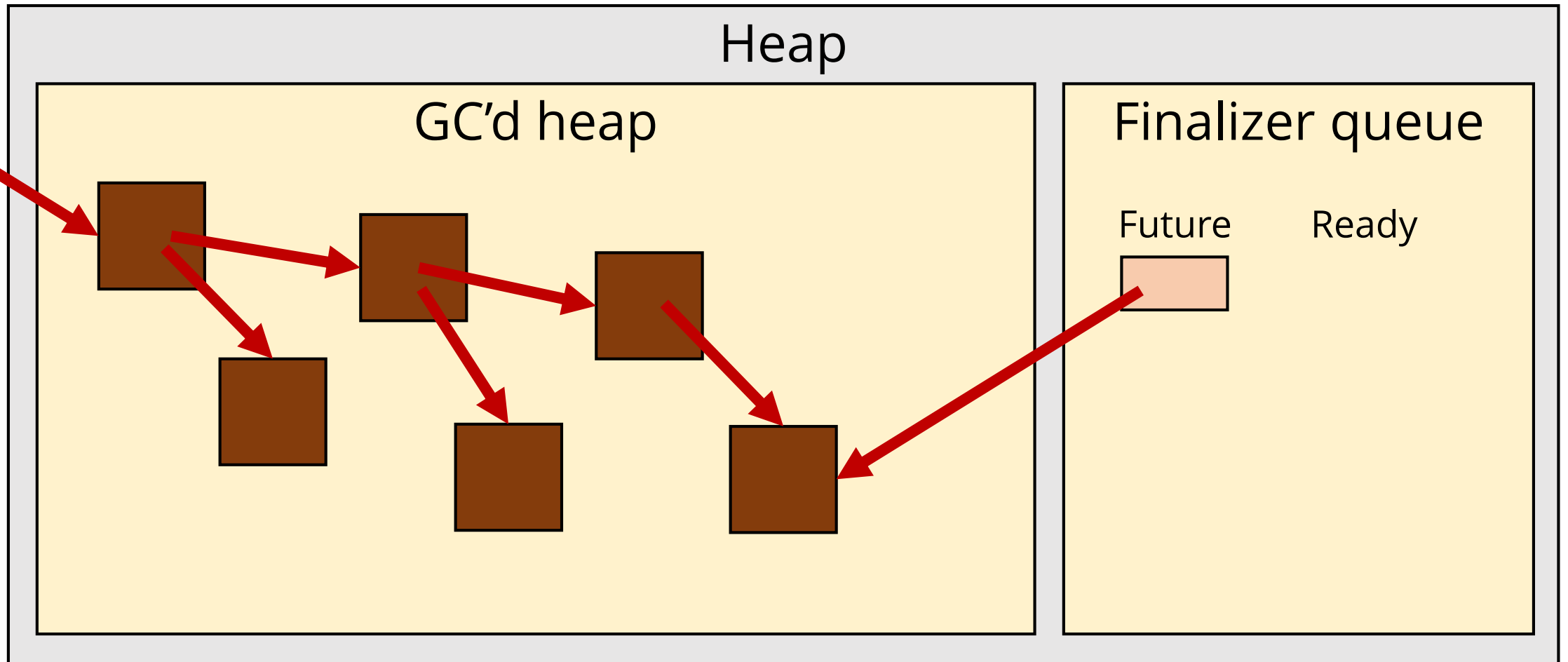
# Finalization queue



# Finalization queue



# Finalization queue





# Other finalizer thoughts

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- Order of finalization might matter
  - e.g. FileBuffer relies on File, FileBuffer finalizes by writing last data, File finalizes by closing file
- Finalization may have thread issues and surprising races

# Finalizers and meta-GC

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- Finalizers are to collect non-memory resources
- GC triggered only because of memory
- Could e.g. fail to open files because dead objects keeping remainder alive
- Reason to allow manual request for GC

# Weak references

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# Weak references

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```
Weak<Object> foo = new Weak<Object>(obj);  
  
foo.get() == obj; // true  
  
obj = null; // and lose all other references  
  
foo.get(); // might be null or obj  
// GC occurs  
foo.get() == null; // true
```

# Typical use

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- Keep extra data for certain objects
- If objects die, no longer need extra data
- But, need reference to object to remember extra data!
- So, keep weak reference for extra data

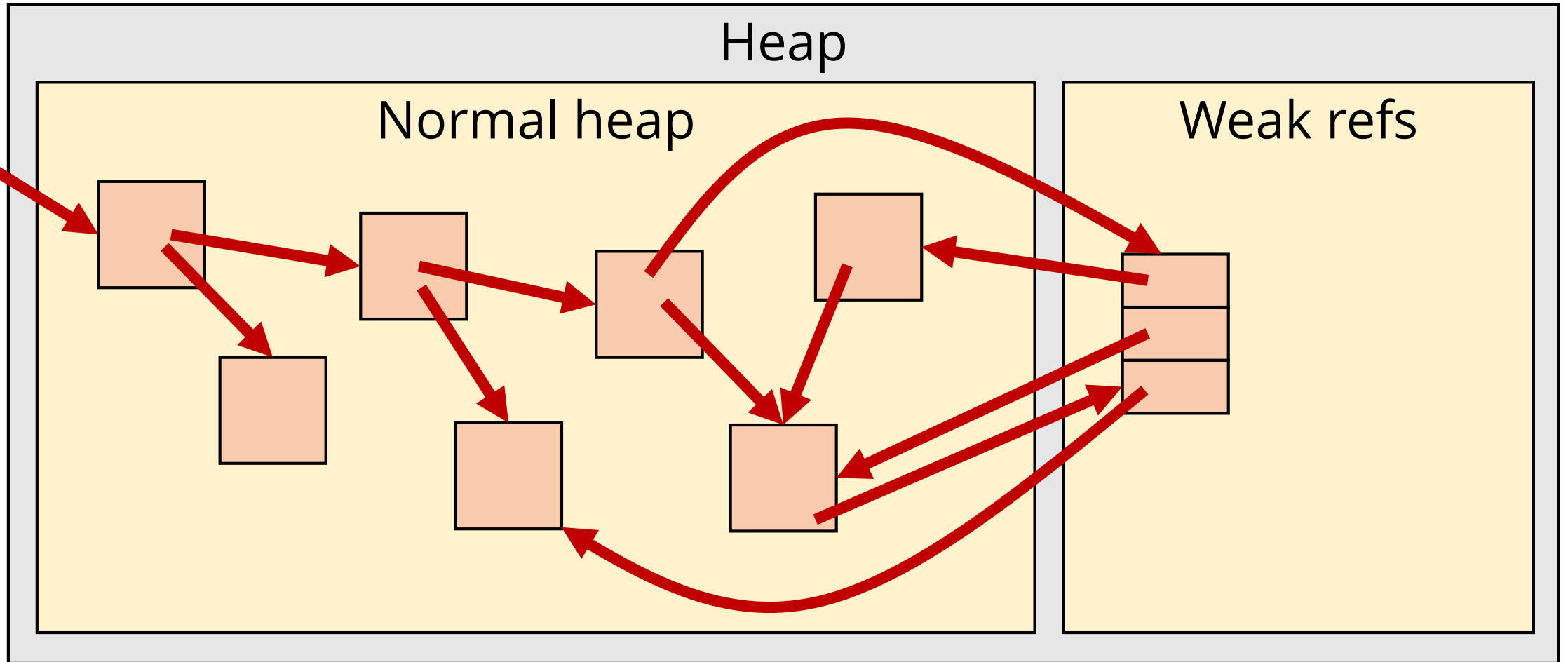
# Weak references

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- Usually opaque: Special object with “get” function to return real reference
- Special partition for these objects
- Don't trace objects in weak partition
- All weak reference objects are same size: No fragmentation possible in weak partition

```
collect() :  
  (initialize worklist)  
  (handle worklist items, don't scan weak ref objects)  
  foreach wrObj in weak ref partition:  
    if wrObj->header.mark:  
      obj := wrObj->referant  
      if !obj->header.mark:  
        wrObj->referant := NULL  
    else:  
      free(wrObj)  
  (sweep)
```

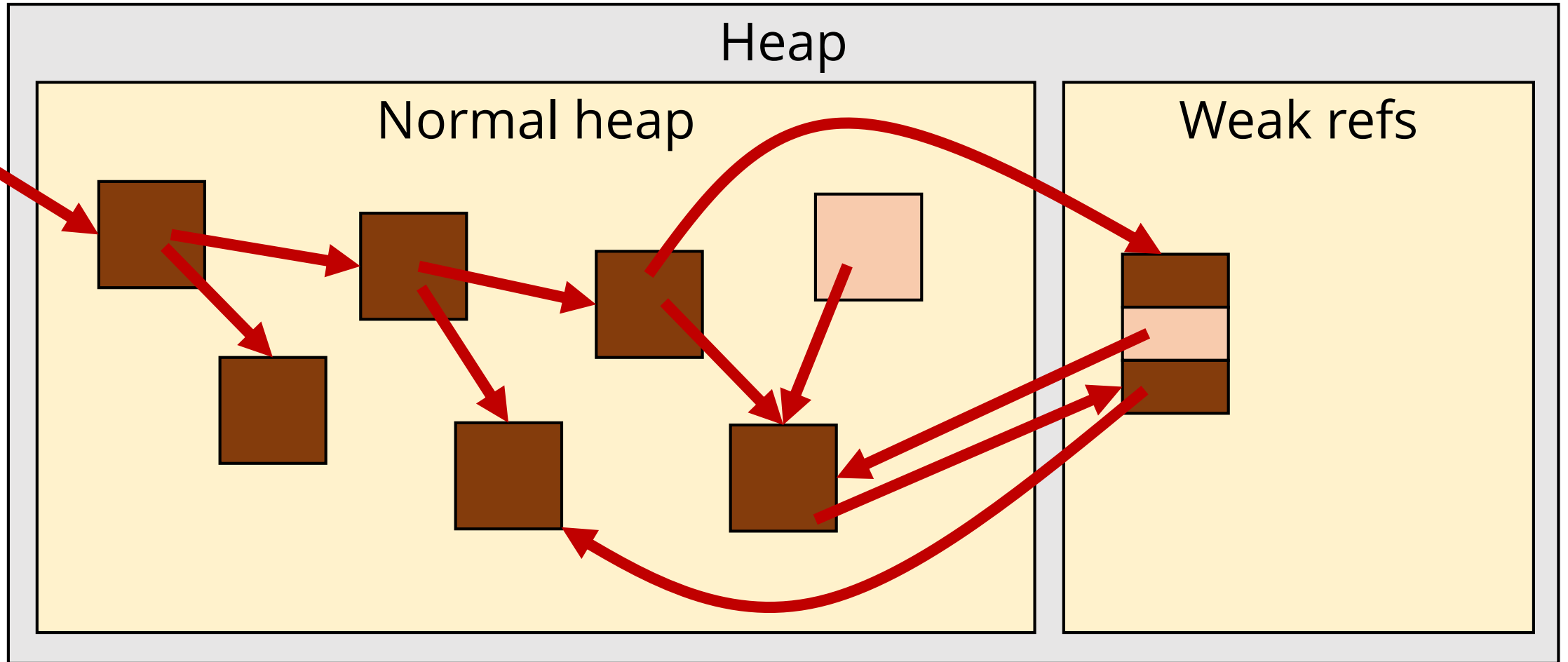
# Weak ref partition



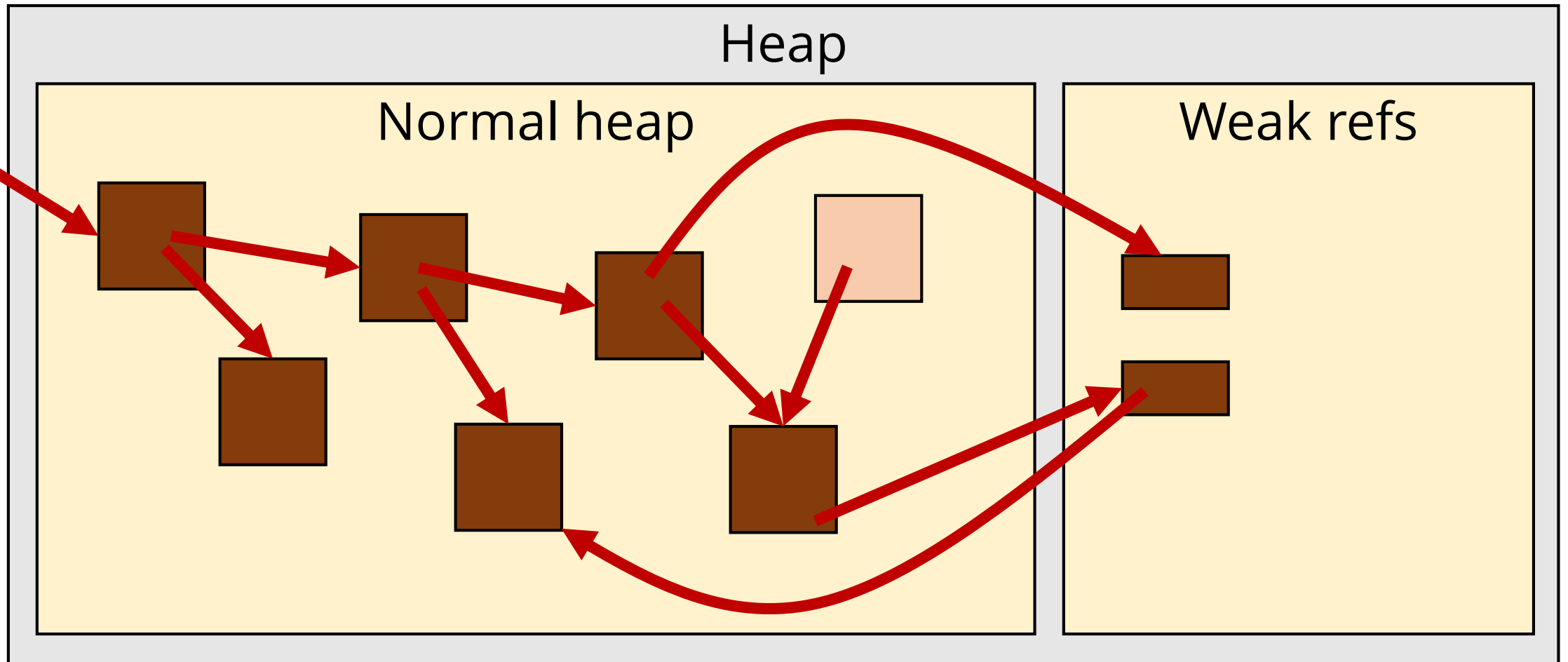




# Weak ref partition

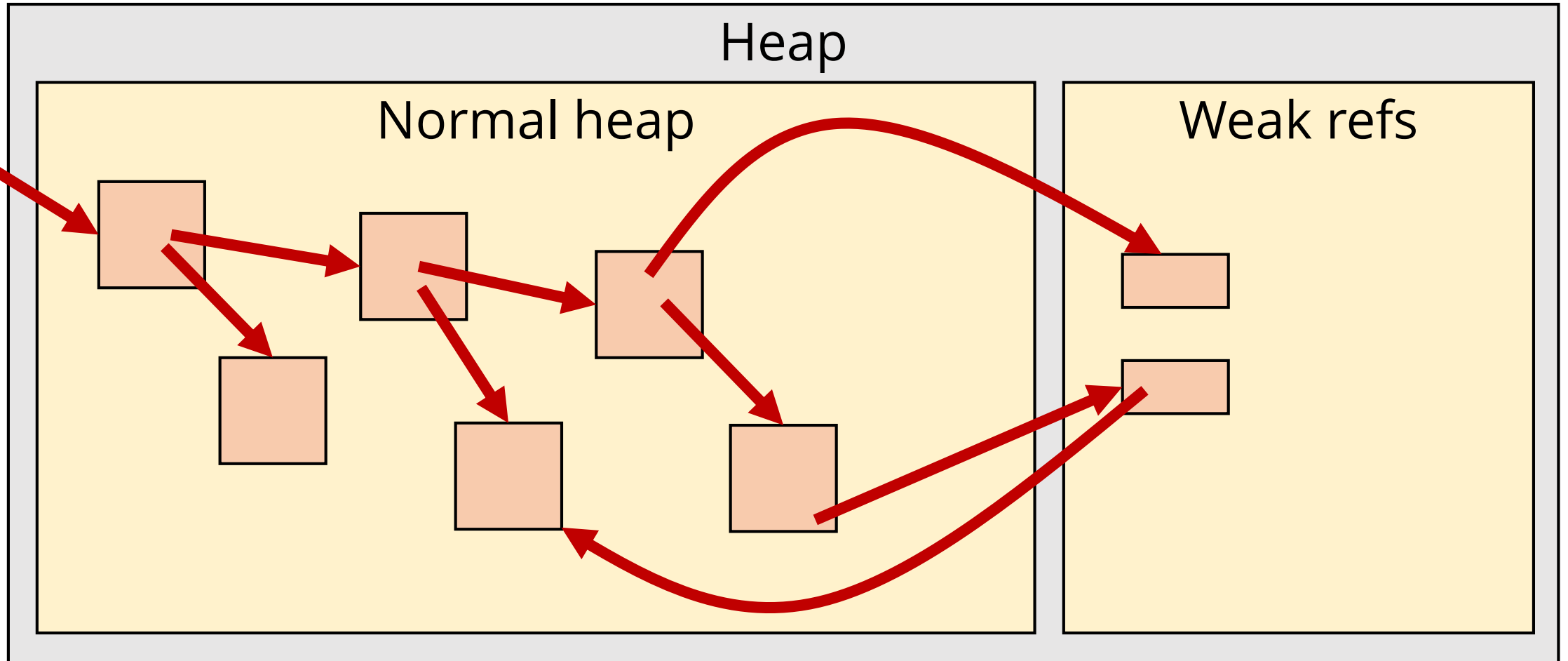


# Weak ref partition





# Weak ref partition



# A complete garbage collector

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# Java partitioning scheme

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- Young generation
  - Bucket 0: partitioned by thread
  - Bucket 1: semispace
- Old generation: mark-and-compact
- Weak ref partition: mark-and-sweep
- Immobile partition for JNI: mark-and-sweep
- Finalizer queue
- Executable partition: manual, freed by finalizers

```

collect() :
    bltospace, blfromspace := blfromspace, bltospace
    (initialize worklist from roots)
    while loc := worklist.pop() :
        obj := *loc
        if obj in young gen:
            if !obj->header.forward:
                if obj in bucket 0:
                    (copy obj to newObj in bltospace)
                    obj->header.forward := newObj
                else if obj in blfromspace:
                    (try to promote obj to newObj)
                    if newObj == NULL:
                        (perform old collection)
                        (retry young collection)
                    obj->header.forward := newObj
                (scan newObj)
            *loc := obj->header.forward
        else if !obj->header.mark:
            if obj !in weak ref partition: (scan obj)
            obj->header.mark := 1
    (sweep finalizer partition, continue above loop)
    (sweep weak ref partition, nullify dead refs)
    (execute finalizers)

```